



**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## In re Application of:

Donald L. Yates

**Serial No.:** 10/027,951

Filed: December 19, 2001

**For:** METHOD OF REDUCING WATER SPOTTING AND OXIDE GROWTH ON A SEMICONDUCTOR STRUCTURE

Examiner: A. Markoff

**Group Art Unit: 1746** 

Attorney Docket No.: 2269-3375.6US

(95-0999.05/US)

## CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

July 6, 2004 Date

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. The listed documents were cited by the Office in co-pending application Serial No. 10/633,069, filed on August 1, 2003, and directed to a related invention. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicant herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent Documents

U.S. Patent No.	Publication Date	<u>Patentee</u>
5,355,901	10/18/94	Mielnik et al.
5,569,330	10/29/96	Schild et al.
5,660,642	08/26/97	Britten
5,714,203	02/03/98	Schellenberger et al.
5,940,985	08/24/99	Kamikawa et al.
6,143,087	11/07/00	Walter

Applicant offers to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits.

I hereby certify that no item of information contained in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the statement, and therefore no fee is due.

Respectfully submitted,

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Date: July 6, 2004

JRD/sls:djp

Enclosures: Form PTO-1449 or PTO/SB/08

Copy of documents cited

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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INFO	DRMATIO	N DIS	SCLOSURE	Application Number	10/027,951	
			PPLICANT	Filing Date	December 19, 2001	
STATEMENT DI ATTECANT			LICIANI	First Named Inventor	Donald L. Yates	
				Group Art Unit	1746	
(use as many sheets as necessary)			necessary)	Examiner Name	A. Markoff	
Sheet	1	of	1	Attorney Docket Number	3375.6US (95-0999.05/US)	

U.S. PATENT DOCUMENTS						
Examiner Cite Initials * No.1	City .	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
		Number - Kind Code <sup>2</sup> (if known)  MM-DD-YYYY		Cited Document	Passages or Relevant Figures Appear	
		US-5,355,901	10/18/94	Mielnik et al.		
		US- 5,569,330	10/29/96	Schild et al.		
		US- 5,660,642	08/26/97	Britten		
		US- 5,714,203	02/03/98	Schellenberger et al.		
	Ì	US- 5,940,985	08/24/99	Kamikawa et al.		
		US- 6,143,087	11/07/00	Walter		
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Examiner Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,		
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		-				

Examiner Signature	Date Considered	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.